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Prevalence of asthma—chronic obstructive pulmonary disease overlap in patients with airflow limitation



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Abstract

Background: Asthma-COPD overlap (ACO) according to GINA and GOLD is not a single disease and is described by persistent airflow limitation with several features usually associated with asthma and several features usually associated with COPD. Some different protocols were proposed to diagnose ACO, besides those reported in GINA and GOLD guidelines. Despite the discrepancy between all the proposed diagnostic protocols, the diagnosis of ACO is still worthy as it may lead to a more appropriate treatment plan. In Egypt, prevalence of ACO is hardly estimated due to lack of database of patients. Our aim of work was to detect the prevalence of ACO in patients diagnosed as having a chronic airflow limiting disease.

Results: In asthma group (75 patients), 53.3% (40 patients) were diagnosed as ACO with 3 statistically significant favoring diagnostic criteria; post-BDR test FEV1/FVC < 70%, longer disease duration, and sputum neutrophilia ≥ 57%. While in COPD group (75 patients), 42.7% (32 patients) were diagnosed as ACO with four statistically significant favoring diagnostic criteria; higher BDR, presence of personal history of either asthma or atopy and sputum eosinophils > 1%.

Conclusions: ACO has an underestimated prevalence among those diagnosed with either asthma or COPD. More consensus guidelines are needed to focus on the more effective and the more practical criteria to diagnose such hidden disease.

Keywords: Asthma, COPD, ACO

Background

Asthma-COPD overlap (ACO) according to GINA and GOLD is not a single disease, and is described by persistent airflow limitation with several features usually associated with asthma and several features usually associated with COPD [1, 2]. Factors that tend to increase probability of ACO in asthmatic patients include old age (as elderly asthmatic patients usually show more features of fixed obstruction and more severe symptoms [3, 4]) and being a current smoker (as smoking leads to a decline in FEV1 of 66 ml/year in men and 52 ml/year

in women and leads to an increased risk of airway remodeling) [5, 6]. While factors that tent to increase probability of ACO in COPD patients include history of atopy (that is associated with elevated total IgE) [7], increase sputum and/or blood eosinophilia [7], and a slightly increased nitric oxide level [8].

Some different protocols were proposed to diagnose ACO [9–16], besides those reported in GINA and GOLD guidelines [1, 2]. Despite the discrepancy between all those protocols, the diagnosis of ACO is still worthy as it may lead to a more appropriate treatment plan. For example, in asthmatic patients with overlap, adding long acting B_2 agonist or long acting antimuscarinic is more beneficial and can prevent the side effects of high doses of corticosteroids [17]. On the

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contrary, adding inhaled corticosteroid treatment in COPD patients newly diagnosed as ACO offers a greater clinical and spirometric response [18].

The reported prevalence of ACO varies greatly between 15 and 55% of patients with chronic airways disease, due to the different diagnostic criteria used and the different population, it ranges between 1.6% and 4.5% in general population studies and up to 27% and 33% among asthma and COPD populations, respectively [19, 20]. In Egypt, prevalence of ACO is hardly estimated due to lack of database of patients. In 2018, out of 56 patients attending Kasr Al Aini outpatient clinic who were diagnosed as having obstructive airway diseases, 23 (41.1%) patients were diagnosed as ACO [21]. In this study, we aimed at detecting the prevalence of ACO in patients diagnosed as having a chronic airflow limiting disease.

Methods

This prospective non-interventional study was done between May and September 2019. We included 150 patients from Alexandria Main University Hospital and Al Maamora Chest Hospital. Based on sample size calculation done in the statistics department of Alexandria Medicine Faculty, we aimed to assess 75 patients diagnosed as asthma (according to GINA guidelines [1]) and another 75 patients diagnosed as COPD (according to GOLD guidelines [2]) with the exclusion of patients aged below 18 years, having any other respiratory diseases (as interstitial lung disease, obstructive sleep apnea, bronchiectasis, cardiogenic pulmonary congestion and pneumonia) or refused to give a written informed consent. In all procedures, we strictly followed the faculty ethics committee standards.

In the two groups, group I (asthma patients) and group II (COPD patients), every patient was subjected to the following: (1) history taking and clinical assessment, (2) plain X-ray and computed tomography of the chest (to exclude other respiratory diseases), (3) spirometry, forced vital capacity (FVC) procedure, and bronchodilator reversibility test (BDR) that were done according to ATS/ERS task force standards [22] (using either Chest Graph HI-701 in Alexandria Main University hospital or MIR spirolab III in Al Maamora Chest hospital), (4) sputum neutrophil counting in group I, (5) sputum eosinophil counting in group II, and (6) peripheral blood eosinophil and total IgE levels in group II.

BDR was done either by giving four separate puffs (total dose 400 mg) of salbutamol using metered dose inhaler (MDI) through a spacer, which were delivered at 30 s intervals or by using salbutamol nebulizer solution 5 mg. A lower dose (200 mg of salbutamol MDI or 2.5 mg of salbutamol nebulizer solution) can be used if there is concern about any effect on the patient's heart rate or

tremor. Then, the same maneuver of FVC was repeated $10{\text -}15$ min later [22 ${\text -}24$]. If there was improvement in FEV1 \geq 200 ml and \geq 12%, the test was considered positive (considerable BDR). If the improvement was \geq 400 ml and \geq 15%, the test was considered very positive (high BDR). Finally, if the improvement was less than 200 ml or 12%, it was considered as negative test [22 ${\text -}24$].

Diagnosis of ACO in group I was dependent on presence post-BDR test FEV1/FVC < 70% plus one of the following criteria: (1) adult-onset asthma (above 40 years), (2) history of smoking with pack/year index \geq 10, (3) history of exposure to respiratory irritants such as industrial dusts and chemical fumes, (4) long-term asthma since childhood, (5) chronic mucus hypersecretion, and (6) neutrophilia in sputum [15]. While in group II, diagnosis of ACO was dependent on presence of 2 major criteria or 1 major and 2 minor criteria of the following: major criteria of (1) high BDR on at least two occasions, (2) eosinophilia in sputum and/or peripheral eosinophilia in blood ≥ 300/ml, (3) personal history of asthma (prior to 40 years of age) and minor criteria of (1) elevated serum total IgE ≥ 100 UI/ml, (2) history of atopy, and (3) considerable BDR on at least two occasions [16]. Neutrophils ≥ 57% in sputum was considered positive for neutrophilia while eosinophils ≥ 1% was considered positive for eosinophilia [25].

The statistical analysis was done using IBM SPSS software package version 20.0. (Armonk, NY: IBM Corp.). Qualitative data were described using number and percent. The Kolmogorov-Smirnov test was used to verify the normality of distribution. Quantitative data were described using range (minimum and maximum), mean, standard deviation, and median. Significance of the obtained results was judged at the 5% level. The used statistical tests included (1) chi-square test for categorical variables to compare between different groups, (2) Fisher's exact correction for chi-square when more than 20% of the cells have expected count less than 5, (3) Student's t test for normally distributed quantitative variables to compare between two studied groups, and (4) Mann-Whitney test for abnormally distributed quantitative variables to compare between two studied groups.

Results

In group I, 53.3% of patients were diagnosed as ACO. Three statistically significant favoring diagnostic criteria for ACO in asthma patients were found, namely post-BDR test FEV1/FVC < 70%, longer disease duration, and sputum neutrophilia \geq 57%. While other criteria (age, gender, late onset of the disease after age of 40, history of smoking, exposure to irritant, and chronic mucus production) had no statistically significant difference

between patients retaining their asthma diagnosis and those evolved to ACO diagnosis (Table 1).

In group II, 42.7% of patients were diagnosed as ACO. Four statistically significant favoring diagnostic criteria for ACO were found in COPD patients, namely higher BDR, presence of personal history of either asthma or atopy and sputum eosinophils > 1%. While other criteria (age, gender, IgE level, and peripheral eosinophil count) had no statistically significant difference between patients retaining their COPD diagnosis and those evolved to ACO diagnosis (Table 2).

Discussion

The prevalence of ACO considerably varies among different published studies. This may be due to different studied populations and different diagnostic criteria. A systematic research was performed in ISI Web of Knowledge, MEDLINE/PubMed, and Scopus databases up to 30 May 2019 to identify studies reporting the prevalence of ACO among patients with asthma and COPD that was reported in 19 and 22 studies, respectively. These values showed marked variability in patients with asthma (ranging from 3.2 to 51.4%) and COPD (ranging from 13.0 to 55.7%) [26]. Sevimli et al. showed that among 2742 patients initially screened, 338 were diagnosed as asthma, ACO was determined in 40/338 (11.8%) [27]. Menezes and his colleagues included a total of 767 patients with asthma were classified as asthma, COPD, and ACO at the estimated ratios of 1.7%, 12%, and 1.8%, respectively [28]. In the study by de Oca et al., the rate of ACO in the overall population was reported as 5.3% in cases with a previous asthma diagnosis and as 2.3% in cases newly diagnosed during the study [29]. In another review by Wurst et al., the frequency of ACO was reported as 12.1–55.2% in patients with COPD and as 13.3–61% in patients with asthma [30]. According to other reports, the prevalence of ACO among COPD patients ranged from 3.3 to 54.6% [19, 31, 32].

In our study, the mean age of the cases of ACO was 45.80 ± 14.62 years that was higher as compared with the cases of asthma (41.29 \pm 11.57 years), but it did not reach a statistically significant value. Sevimli et al. reported that the mean age of the cases of ACO was 63.78 ± 10.23 years that was statistically significantly higher as compared with the cases of asthma (p = 0.001) [27]. Moreover, the prevalence of ACO was reported to vary according to the age group as shown in the study by De Marco et al., who reported that prevalence of ACO in 8360 patients with asthma was determined as 16% at age 20-44 years, 30% at age 45-64 years, and 61% at age 65-84 years [19]. This also agreed with Al Halfawy et al., who found that patients who were diagnosed as having ACO were older than asthmatic patients with mean age of 53.43 ± 11.06 and 42.62 ± 11.11 years [21]. It is thought that the increase in the incidence of ACO at an older age compared with asthma could be explained by increased airway remodeling, biomass exposure, and development of fixed airflow limitation due to the burden of smoking in addition to the age-related decline in FEV1 [12, 33, 34].

In this study, the median duration of the disease in the cases of ACO was 24.5 years with range between 20 and

Table 1 Patients characteristics in group I

	Total (n = 75)	Asthma (n = 35, 46.7%)	ACO (n = 40, 53.3%)	p value
Age (mean in years)	43.69 ± 13.39	41.29 ± 11.57	45.80 ± 14.62	0.146
Gender;				0.355
Male	47	20	27	
Female	28	15	13	
Post-BDR FEV1/FVC < 70%				< 0.001*
Yes	40	0	40	
No	35	35	0	
Onset after age of 40	11	6	5	0.571
History of smoking	35	14	21	0.279
Exposure to irritant	23	9	14	0.384
Duration of disease (median in years)	23.0	20.0	24.50	0.006*
Chronic mucus	18	7	11	0.448
Sputum neutrophils				0.037*
< 57%	24	15	9	
≥ 57%	7	1	6	

ACO asthma-COPD overlap, Post-BDR post-bronchodilator reversibility test, FEV1 forced expiratory volume in 1st second, FVC forced vital capacity *Statistically significant at $p \le 0.05$

Table 2 Patients characteristics in group II

	Total (n = 75)	COPD (n = 43, 57.3%)	ACO (n = 32, 42.7%)	p value
Age (mean in years)	57.19 ± 10.05	57.30 ± 8.41	57.03 ± 12.05	0.914
Gender				0.717
Male	67	39	28	
Female	8	4	4	
BDR				< 0.001*
No	50	40	10	
Considerable	16	3	13	
High	9	0	9	
Personal history of asthma	31	12	19	0.006*
Personal history of atopy	16	5	11	0.017*
Sputum eosinophils				0.001*
< 1%	36	27	9	
> 1%	12	2	10	
Peripheral eosinophils				0.067
< 300/ml	63	39	24	
≥ 300/ml	12	4	8	
lgE level				0.055
< 100 UI/mI	33	23	10	
≥ 100 UI/mI	42	20	22	

ACO asthma-COPD overlap, BDR bronchodilator reversibility test *Statistically significant at p < 0.05

40 years that was statistically significantly higher as compared with the cases of asthma alone (median 20 years with range between 17.5 and 33 years). However, Sevimli et al. showed that there was no statistically significant difference in the duration of disease between cases with ACO and cases with asthma alone in the cases included in their study [27].

Despite that history of smoking in the asthma group was less likely encountered than in the ACO group (40% vs. 52.5%), this finding was not statistically significant. Al Halfawy et al. showed that 87% of ACO group and only 23% of asthma group were either current or ex-smokers [21]. Fu et al. also found that most asthmatic patients in their study were never smokers whereas 71.4% in the ACO group were ex-smokers [35].

Neutrophillia > 57% of sputum was presented in 1 (6.3%) of our asthmatic patients and in 6 (40%) of ACO patients which is statistically significant (p= 0.037). This came in accordance with Gao et al., who showed that 80.5% of the cases with ACO had high sputum neutrophil count vs 71.09% in cases with asthma [36].

The diagnostic value of bronchodilator responsiveness (BDR) in ACO is unclear. A "significant" BDR (most often considered to be a 12% and 200 mL improvement in FEV1 or FVC following bronchodilator) [37] does not reliably differentiate asthma from COPD [38].

Furthermore, up to half of COPD patients can exhibit a significant BDR [39], with considerable variability over time [40]. According to the majority of current criteria, the presence of BDR is supportive but not essential to diagnosis of ACO [41]. In our study, we followed the Spanish guidelines in considering irreversible airway obstruction, defined as post-BDR test FEV1/FVC < 70%, as the basic first step to diagnose ACO in asthmatics [15]. While in our COPD patients, we found that high or considerable BDR (see "Methods" section) was a statistically significant diagnostic criteria of ACO. This came in agreement with Toledo-Pons et al., who showed that no COPD cases showed highly positive BDR vs 9.7% in ACO cases. They also reported that 15.5% of the cases with COPD showed positive BDR as compared with 23% in the cases with ACO [42]. Song et al., also reported that only a small proportion of COPD patients had bronchodilator responsiveness > 400 mL [43].

In this study, the mean age of the cases of COPD alone was slightly higher as compared with the cases of ACO, but this did not reach a statistically significant value. Many authors have reported that ACO patients were younger than COPD patients [21, 44–48], while others found no significant difference regarding age of patients of both groups [49–51].

We found history of either asthma or atopy in COPD patients as statistically significant favoring factors for diagnosing ACO. Gelb et al. suggested that ACO may begin with asthma and that Th2 inflammation from recurrent exacerbations could lead to neutrophil elastase production and lung tissue destruction [52]. Toledo-Pons et al. showed that there was a statistically significant difference in the history of atopy (allergic rhinitis) between the ACO cases (12.7%) as compared with cases COPD alone (6.8%) (p = 0.021) [42]. Kitaguchi et al. found that the prevalence of allergic rhinitis was significantly higher in ACO, and they stated that the most accessible marker of the Th2 type of inflammation is increased concentrations of blood eosinophils, and consistently, blood and sputum eosinophil counts are significantly higher in patients with ACO compared with COPD [53]. Yamamura et al. reported also that the peripheral blood eosinophils are significantly higher in cases with ACO as compared with cases with COPD alone [54]. We could not prove the statistically significant difference in peripheral eosinophilia between ACO and COPD patients despite the double number of ACO patients who crossed the limit of 300/ml (8 vs. 4). This may be due to the few overall number of such patients with peripheral eosinophilia.

High IgE level (\geq 100 IU/ml) was more frequently encountered in our ACO patients compared to COPD alone patients; however, this difference was not statistically significant. Kobayashi et al. showed that total serum IgE level was significantly higher in patients with ACOS than in those without ACOS (2989 IU/mL vs. 451 IU/mL, p=0.004). The authors also reported that the presence of antigen-specific IgE also was observed more frequently in those with ACOS (56.8% vs 28.6%, p=0.001) [55].

Conclusion

From all previously mentioned, we can conclude that ACO has an underestimated prevalence among those diagnosed with either asthma or COPD. More consensus guidelines are needed to focus on the more effective and the more practical criteria to diagnose such hidden disease.

Abbreviations

COPD: Chronic obstructive pulmonary disease; ACO: Asthma-COPD overlap; GINA: Global Initiative for Asthma; GOLD: Global Initiative for Chronic Obstructive Lung Disease; FEV1: Forced expiratory volume in 1st second; IgE: Immunoglobulin E; FVC: Forced vital capacity; ATS: American thoracic society; ERS: European respiratory society; BDR: Bronchodilator reversibility; MDI: Metered dose inhaler

Acknowledgements

Not applicable

Authors' contributions

AY reviewed the research idea. RA shared in creation of the research idea. AA shared in creation of the research idea, in writing the paper and in the procedures. DH shared in writing the paper and in doing most of the procedures. All authors read and approved the final manuscript.

Funding

All the procedures were done using Alexandria Medicine Faculty and Al Maamora Chest Hospital resources without any impact of this on the design of the study or collection, analysis, and interpretation of data.

Availability of data and materials

The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

Ethics approval and consent to participate

Alexandria Medicine Faculty Ethics Committee (IRB No.: 00012098-FWA No.: 00018699) approved this research on 18 April 2019 with the serial number 0105953. A written informed consent was taken from each patient.

Consent for publication

Not applicable

Competing interests

The authors declare that they have no competing interests.

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Received: 16 September 2020 Accepted: 2 January 2021 Published online: 13 January 2021

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